



# **Software Failure and Reliability Assessment Tool (SFRAT): An Open Source Application for the Practitioner and Research Community**

Vidhyashree Nagaraju<sup>1</sup>, Thierry Wandji<sup>2</sup>, and Lance Fiondella<sup>1\*</sup>

<sup>1</sup>University of Massachusetts, North Dartmouth, MA 02747

<sup>2</sup>Naval Air Systems Command, Patuxent River, MD 20670



# Motivation

- Many government organizations and national labs depend on mission and life critical software
  - To assure national security
  - Safety of human operators and communities in which software enabled systems reside

**Reliability key to success of software**



# Motivation (2)

- Department of Defense (DoD) increasingly depends on software intensive systems
  - Mission and life critical
  - Must preserve high reliability and availability
- Urgency to deploy new technologies and military capabilities may result in
  - Inadequate reliability testing
  - Severe economic damage and loss of life



# Background

- Recent National Academies report on Enhancing Defense System Reliability recommends
  - Use of reliability growth models to direct contractor design and test activities
- Several tools to
  - Automatically apply reliability models
  - Automate reliability test and evaluation



# Existing Tools

- CASRE (Computer-Aided Software Reliability Estimation Tool)
  - Incorporates SMERFS (Statistical Modeling & Estimation of Reliability Functions for Software)
  - Automatically ranks models according to set of goodness of fit measures
- **Caution:** Users strongly advised to study underlying mathematics
  - Can better inform model selection process



# Shortcomings of existing tools

- Over 20 years old
  - Not updated in over 15 years
  - Not compatible with current operating systems
- Interface
  - Does not impose intuitive workflow
  - Possible to run models on data that does not exhibit reliability improvement
  - User may fail to recognize lack of model fit
- Not open source (not sustainable)
  - Inhibits dialog between researchers and practitioners



# Software Failure and Reliability Assessment Tool (SFRAT)

- SFRAT is an open source application
  - Designed for practitioner and research community
  - Programmed in R and provides functionality through a Shiny graphical user interface
- Reduces the need for knowledge of the underlying statistical techniques
  - Can help contractors quantitatively assess software as part of their data collection and reporting process



# Software Failure and Reliability Assessment Tool (SFRAT) (2)

- Allows users to answer following questions about a software system during test
  1. Is the software ready to release (has it achieved a specified reliability goal)?
  2. How much more time and test effort will be required to achieve a specified goal?
  3. What will be the consequences to system's operational reliability if not enough testing resources are available?





# SFRAT Output/Deliverables

- Trend tests
- Model rankings
- Visualization
  - Cumulative failure plot
  - Time between failure plot
  - Failure intensity plot
  - Reliability growth plot
- Predictions
  - Time to achieve reliability
  - Expected number of faults for next  $t$  time units
  - Expected time to next  $k$  failures



# SRGM classification

- Based on data formats
  - Failure Rate models
    - Inter-failure times - time between  $(i - 1)^{st}$  and  $i^{th}$  failure, defined as  $t_i = (\mathbf{T}_i - \mathbf{T}_{i-1})$
    - Failure times – vector of failure times,  
$$\mathbf{T} = \langle t_1, t_2, \dots, t_n \rangle$$
  - Failure Counting models
    - Failure count data - length of the interval and number failures observed within it,  
$$\langle \mathbf{T}, \mathbf{K} \rangle = \langle (t_1, k_1), (t_2, k_2), \dots, (t_n, k_n) \rangle$$



# SFRAT - Tab view

Software Reliability Assessment in R    **Select, Analyze, and Filter Data**    Set Up and Apply Models    Query Model Results    Evaluate Models

Plot    **Data and Trend Test Table**

## Select, Analyze, and Subset Failure Data

Specify the input file format

Excel (.xlsx)     CSV (.csv)

Select a failure data file

No file chosen

Please upload an excel file

Choose a view of the failure data.

Cumulative Failures

Draw the plot with data points only, lines only, or both?

Both     Points     Lines

Plot Data or Trend Test?

Data     Trend test

Does data show reliability growth?

Laplace Test

Subset the failure data by category or data range

Select one or more failure categories to retain



Specify the data range to which models will be applied.



Open, analyze, and subset file

Apply models, plot results

Detailed model queries

Evaluate model performance



# Input File Format

- Excel or csv
- First row indicates type of failure data
  - FN – Failure number
  - IF – Inter failure times
  - FT – Failure times
  - FC – Failure count
- Regardless of input format
  - Tool converts data to other two formats

1	FN	IF	FT
2	1	3	3
3	2	30	33
4	3	113	146
5	4	81	227
6	5	115	342
7	...	...	...



# Tab 1

Select, Analyze, and Filter data



# Tab 1 – After data upload

Select, Analyze, and Subset Failure Data

Specify the input file format

Excel (.xlsx)  CSV (.csv)

Select a failure data file

Choose File | model\_data.xlsx  
Upload complete

Choose Sheet

SYS1

Choose a view of the failure data.

Cumulative Failures

Times Between Failures  
Cumulative Failures  
Failure Intensity

Plot Data or Trend Test?

Data  Trend test

Does data show reliability growth?

Laplace Test

Specify the confidence level for the Laplace Test

0.9

Choose the type of file to save plots. Tables are saved as CSV files.

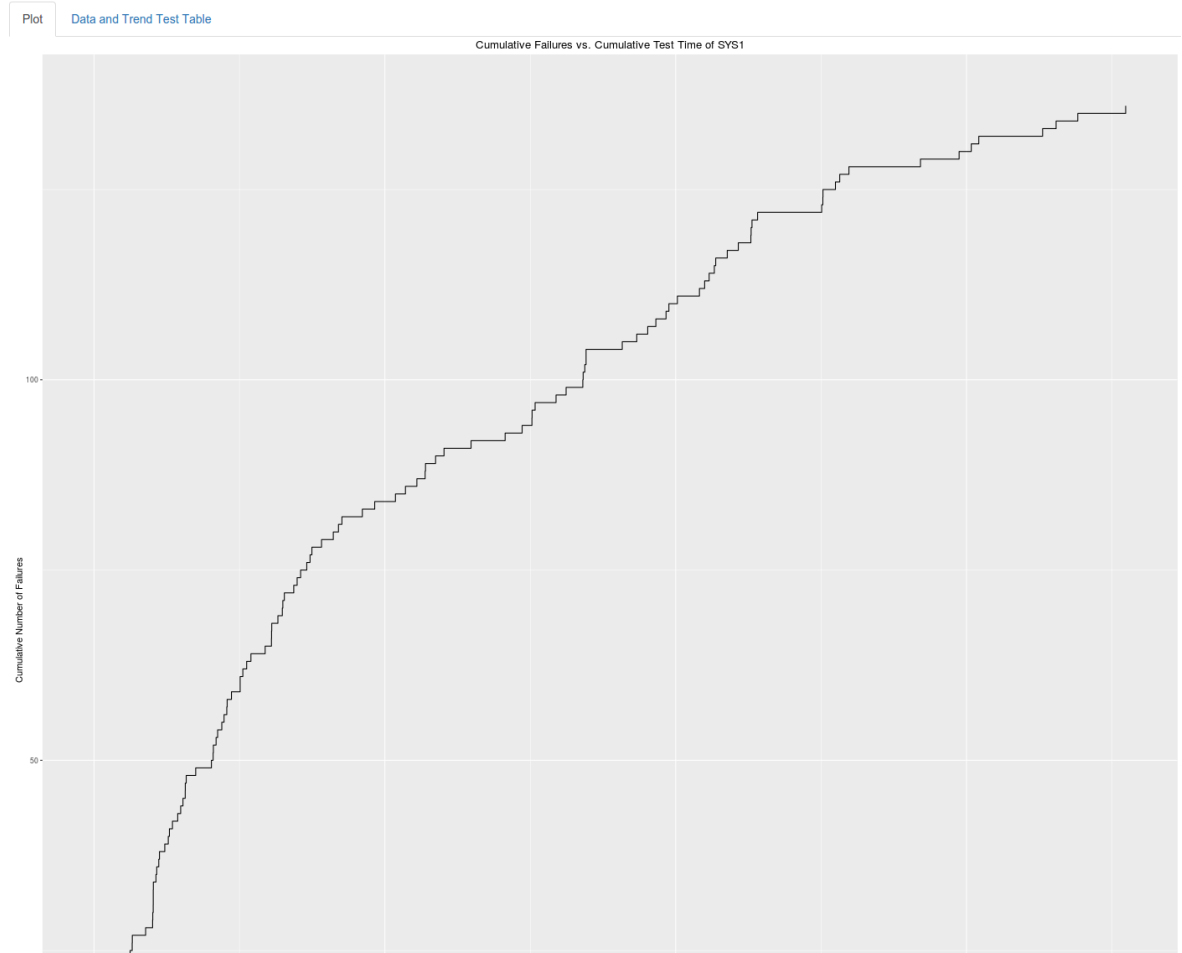
JPEG  PDF  PNG  TIFF

Save Display

Subset the failure data by data range

Specify the data range to which models will be applied.

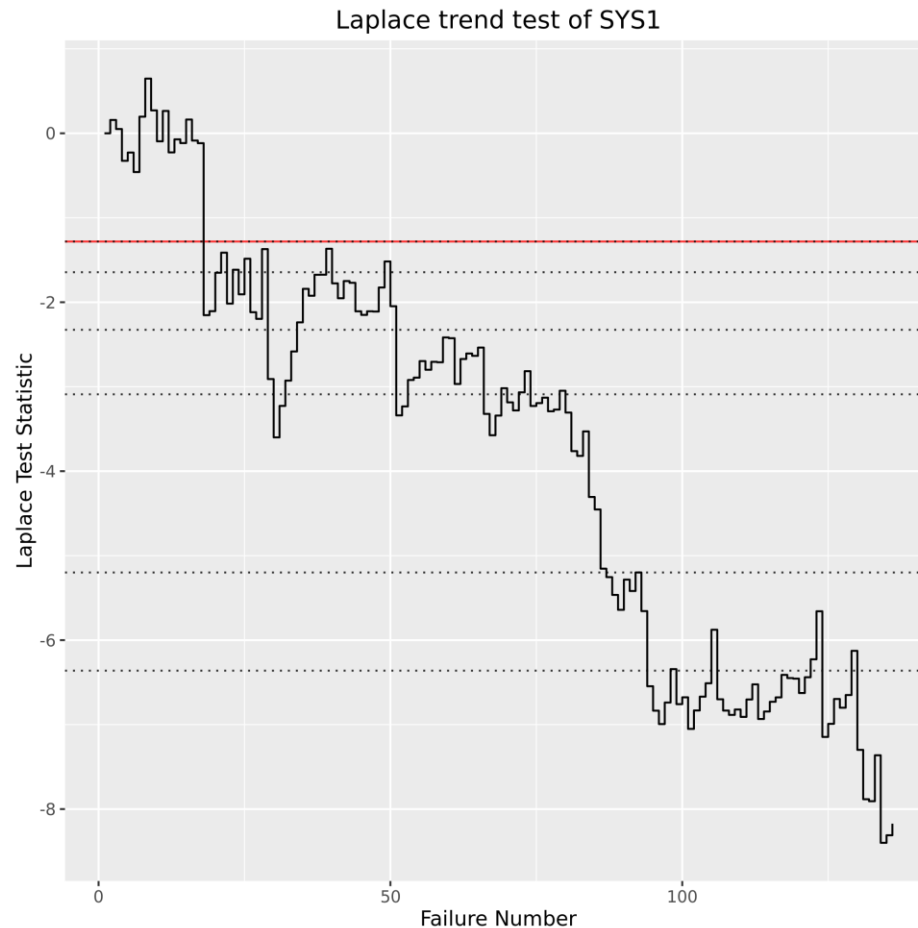
1 136



Cumulative failure data view



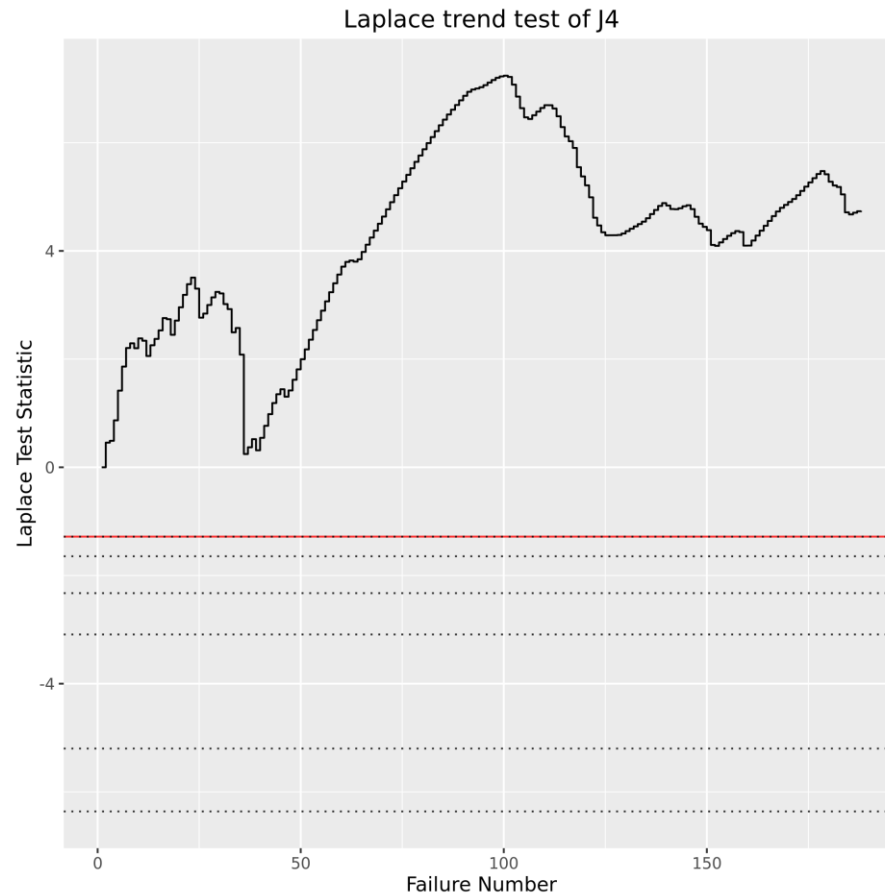
# Laplace trend test – SYS1 data



Decreasing trend indicates reliability growth  
(application of SRGM appropriate)



# Laplace trend test – J4 data

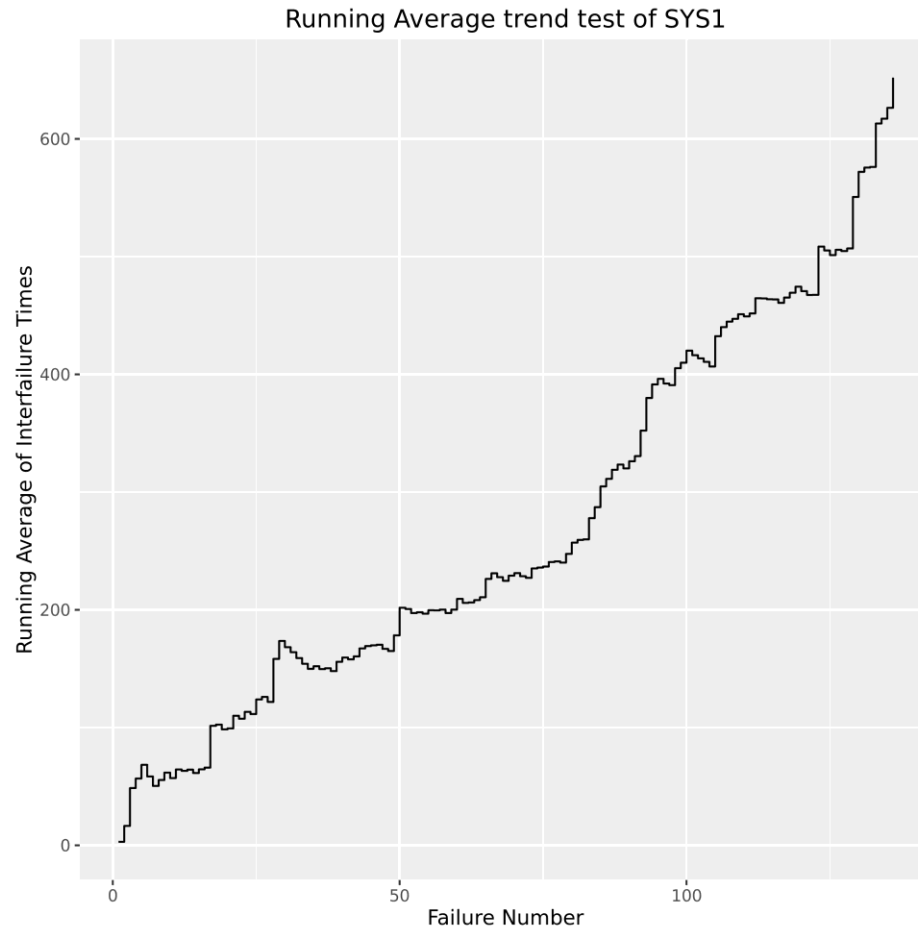


Does not exhibit reliability growth (additional testing required)





# Running Arithmetic Average – SYS1 data



**Increasing trend indicates reliability growth**



# Tab 2

## Set Up and Apply Models



# Tab 2 view

**Configure and Apply Models**  
Specify the number of failures for which the models will make predictions

Specify for how many failures into the future the models will predict

Choose one or more models to run, or exclude one or more models.

Delayed S-Shape Geometric Goel-Okumoto Jelinski-Moranda Weibull

Run Selected Models

**Display Model Results**  
Choose one or more sets of model results to display

No model results to display

Choose the type of plot for model results.

Choose a plot type

Cumulative Failures

For how much time should the model results curve extend beyond the last prediction point?

Show data on plot

Show end of data on plot

Draw the plot with data points and lines, points only, or lines only?

Both  Points  Lines

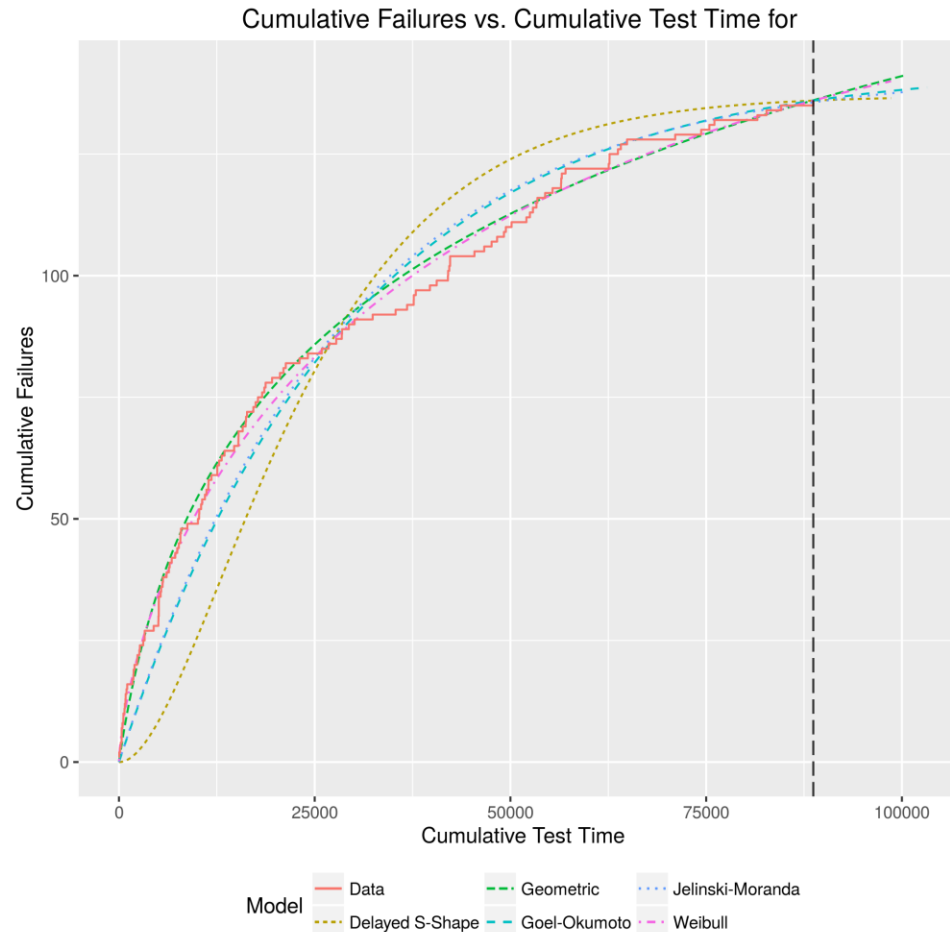
Choose the type of file to save plots. Tables are saved as CSV files.

JPEG  PDF  PNG  TIFF

Save



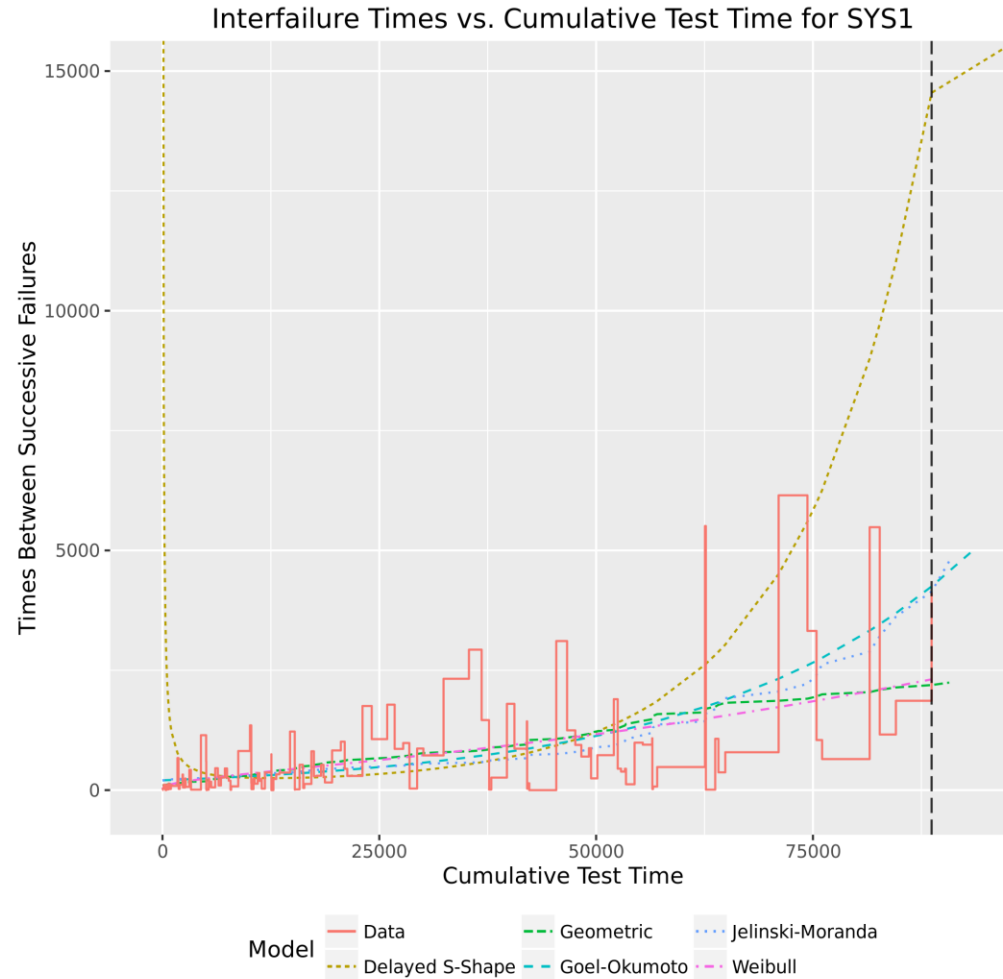
# Cumulative failures



Plot enables comparison of data and model fits



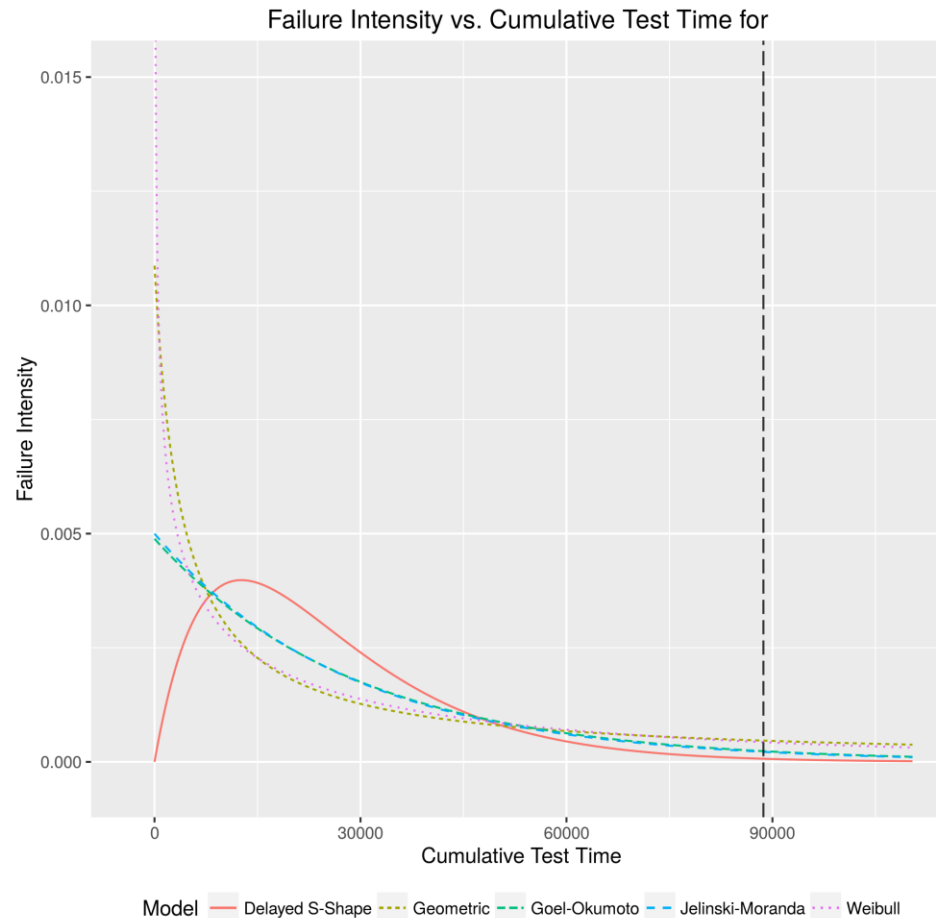
# Time between failures



Times between failures should increase (indicates reliability growth)



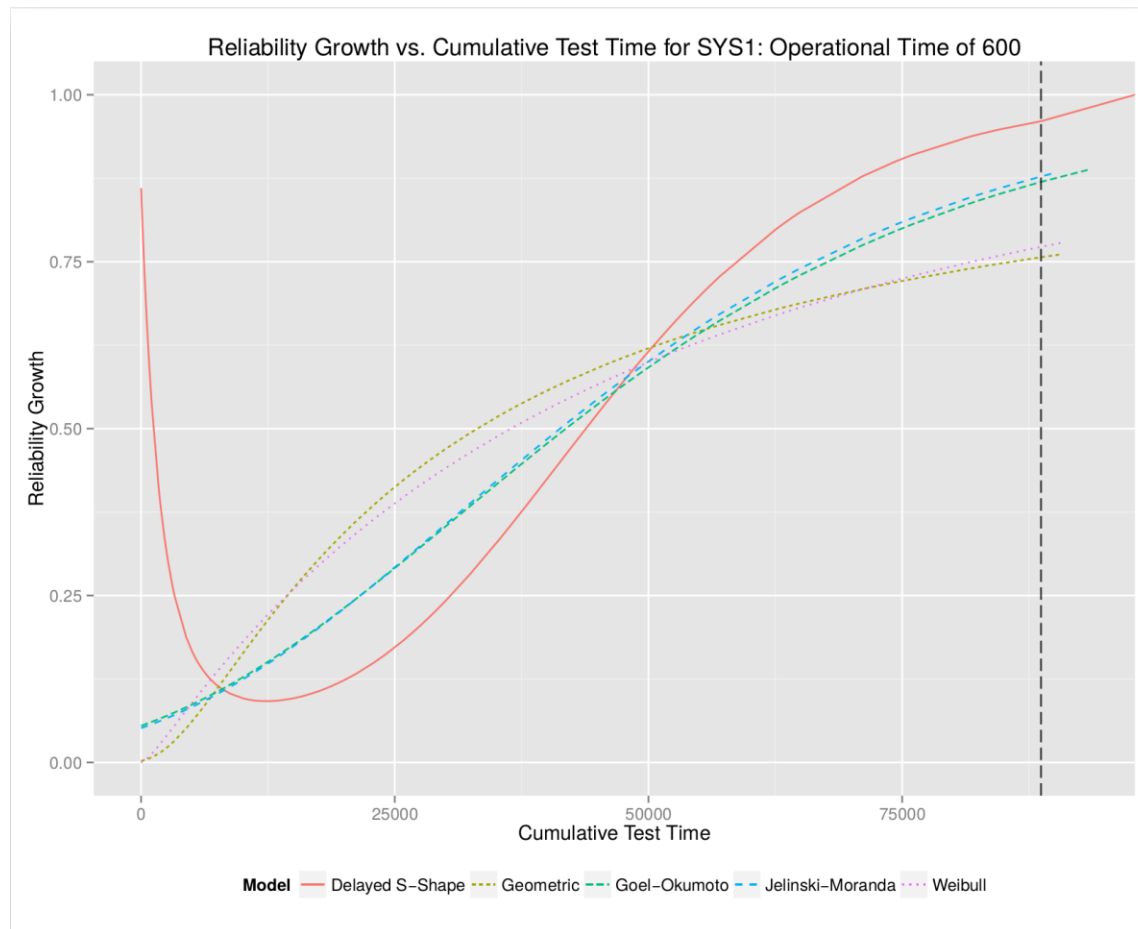
# Failure intensity



Failure intensity should decrease (indicates reliability growth)



# Reliability growth curve



Can determine time to achieve target reliability



# Tab 3

## Query Model Results





## Tab 3: Model predictions

- Allows users to answer the following questions
  1. How much time will be required to observe the next  $N$  failures
  2. How many failures will be observed over the next  $N$  time units?
  3. How much more test time to achieve a specified reliability?



# Tab 3 Options

Make Detailed Predictions From Model Results

Choose one or more sets of model results to display.

Delayed S-Shape Geometric Goel-Okumoto Jelinski-Moranda Weibull |

How much time will be required to observe the next N failures

Specify the number of failures that are to be observed.

1

How many failures will be observed over the next N time units?

Specify the amount of additional time for which the software will run.

4116

How much more test time to achieve a specified reliability?

Specify the desired reliability.

0.9

Specify the length of the interval for which reliability will be computed

4116

Save detailed model results as PDF or CSV?

CSV  PDF

Save Model Predictions



# Failure Predictions

Model	Time to achieve R = 0.9 for mission of length 4116	Expected # of failures for next 4116 time units	Nth failure	Expected times to next 1 failures
<input type="text" value="All"/>	<input type="text" value="All"/>	<input type="text" value="All"/>	<input type="text" value="All"/>	<input type="text" value="All"/>
1				
2 Delayed S-Shape	12401.1541529981	0.246856262199799	1	NA
3				
4 Goel-Okumoto	62829.7672027733	0.903615409906593	1	4591.28466949961
5				
6 Jelinski-Moranda	59915.2917457156	0.85612548252314	1	4869.80650205625
7				
8 Weibull	259865.770847692	1.72595369956707	1	2353.05254648438
9				
10 Geometric	1592716.45936287	1.87747308675807	1	2170.03088926781

Showing 1 to 10 of 10 entries

Previous  Next



# Tab 4

## Evaluate Models



# Tab 4 Options

Evaluate Model goodness of fit and Applicability

Choose one or more models for which the results will be evaluated.

Choose one or more sets of model results


Delayed S-Shape Geometric Goel-Okumoto Jelinski-Moranda Weibull

Specify the Percent Data for PSSE

0.9

Save model evaluations as PDF or CSV?

CSV  PDF

 Save Model Evaluations

**Model assessment based on AIC and PSSE**



# AIC and PSSE

	Model	AIC	PSSE
	<input type="text" value="All"/>	<input type="text" value="All"/>	<input type="text" value="All"/>
1	Delayed S-Shape	2075.14631533222	296.349252292955
2	Geometric	1937.03417425106	84.3270812346017
3	Goel-Okumoto	1953.61306630984	23.0712869112105
4	Jelinski-Moranda	1950.53413167956	19.6003726994455
5	Weibull	1938.16066975807	74.9449562450499

Showing 1 to 5 of 5 entries

Previous  Next

Lower values preferred



# Conclusions and Future Research

- Presented open source application to promote collaboration among
  - Members of software reliability research community
  - Users from industry and government organizations
- Application architecture enables integration of models from research literature
- Future research will expand architecture to enable models for other stages of SDLC



# Software Reliability Tool

Available online

<http://sasdlc.org/lab>





# Acknowledgement

- This work was partially supported by (i) the Naval Air Warfare Center (NAVAIR) under Award Number N00421-16-P-0521 and (ii) the National Science Foundation (NSF) (#1526128).

